

Governance & Risk Committee Agenda - November 4 - 02 21

Zoom Meeting: Please click this URL to join.

<https://us02web.zoom.us/j/84241426648?pwd=SGZXazNoRVNVaHFnekIrQ2lYN1VCUT09> / Passcode:
545182



Zoom Meeting



Start: Thursday, November 4, 2021 - 3:00pm

End: Thursday, November 4, 2021 - 4:00pm

-
1. Roll Call
 2. Disclosure of Pecuniary Interest for Governance & Risk Committee Members
 3. Approval of Agenda
 4. Consent Items

4.1. Corporate Policy Program Update (GC 02 21 01)



Corporate Policy Program Update (GC 02 21 01)

5. Action Items

5.1. Board of Directors 2022 DRAFT Meeting Schedule (GC 02 21 02)



Board of Directors 2022 Draft Meeting Schedule (GC 02 21 02)



Appendix A - 2022 DRAFT Board Working Plan

5.2. Conservation Halton By-Law review enacted by the CA Act changes (CO Council Meeting September 27, Summary Page 28) (GC 02 21 03)



Amendments to CH Administrative By-law No. 2018-01 (rev November 4, 2021) (GC 02 21 03)



Appendix B - Amended CH Administrative By-law No. 2018 -01

6. In Camera

6.1. Consent Item (GC 02 21 04)

7. Other Business

8. Adjournment

REPORT TO: Conservation Halton Governance & Risk Committee

MEMO NO: # GC 02 21 01

FROM: Jill Ramseyer, Director, Corporate Compliance

DATE: November 4, 2021

SUBJECT: Corporate Policy Program Update

Recommendation

THAT the Conservation Halton Governance & Risk Committee **receives for information the staff report containing a summary of updates to the corporate policy management program and staff approved corporate policies.**

Report

The corporate policy management program at CH continues to evolve and is moving towards an enterprise approach. This will help to ensure that CH's ethical values and business priorities are put into practice in a consistent and sustainable way. The corporate policy library has been digitized and is housed on the SharePoint platform, is organized by department and is easily accessible to staff.

The process for addressing policy changes such as additions, revisions, and retirements has been centralized. Each policy is updated by the departmental subject matter expert and approved by the department head. Policies are then submitted to corporate compliance for tracking and maintenance. When received by the corporate compliance department, the policy owner is documented and the policy receives a risk rating of high medium or low which will be used to determine training frequency.

The following table provides statistics on policy changes since April 1, 2020, to October 25th, 2021.

Policy Change Type	Number of Policies	Comments
New Policies Created	15	The majority of new policies created were related to COVID-19 due to changing provincial requirements and the introduction of vaccines and an updated ethics and compliance program which includes a new Code of Conduct
Policies Archived	6	Policies archived were related to HR policies that were consolidated into a new code of conduct.
Policy Revisions	2	
Total Changes	23	

This centralized approach to policy management will help CH govern the creation and distribution of policies.

Impact on Strategic Goals

This report supports the Momentum strategic priority of Organizational Sustainability and is directly tied to the Objective of “Apply and integrated approach to risk, governance and compliance to ensure alignment with enterprise business objectives.”

Financial Impact

There is no financial impact to this report.

Signed & respectfully submitted:



Jill Ramseyer
Director, Corporate Compliance

Approved for circulation:



Hassaan Basit
President & CEO/Secretary-Treasurer

FOR QUESTIONS ON CONTENT:

Jill Ramseyer, Director, Corporate Compliance
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REPORT TO: Conservation Halton Governance & Risk Committee

REPORT NO: # GC 02 21 02

FROM: Adriana Birza, Manager, Officer of the President & CEO

DATE: November 4, 2021

SUBJECT: Board of Directors 2022 DRAFT Meeting Schedule

Recommendation

THAT the Conservation Halton Governance & Risk Committee recommends to the Board of Directors the **approval of the Board of Directors 2022 Draft Meeting Schedule and the 2022 Board Work Plan.**

Report

The attached schedule is the 2022 Meeting Schedule for the Conservation Halton Board of Directors with one date allocated for a site visit. The Advisory Committee meetings will also take place, where possible, on the same date as the Board of Directors meetings however, at an earlier time.

When necessary, the Source Water Protection Authority Board of Directors meetings will be scheduled for the same dates as a Conservation Halton Board of Directors meeting.

Our commitment is to have the meetings conclude by no later than 6:00 p.m. On those rare occasions when you must leave for other obligations, please notify the Chair and the meeting secretary in advance.

The proposed 2022 Draft Work Plan (Appendix A) takes in consideration the following:

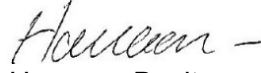
- 2022 municipal election – removed the October Board meeting
- CA Act changes and implementation will influence some of the priorities / content of Agendas
- The Momentum CH strategic plan launched in 2021 with a new cadence for KPI tracking is reflected in the Board Workplan for 2022. Through a transparent performance measurement and reporting process, we will monitor and share our progress towards achieving our strategic objectives: CH Annual Report in April; mid-year Momentum progress report for Q1-Q2 in September.

Prepared by:



Adriana Birza
Manager, Office of the President & CEO

Approved for circulation:



Hassaan Basit
President & CEO/Secretary-Treasurer

FOR QUESTIONS ON CONTENT: Adriana Birza, 905.336.1158 x2295; abirza@hrca.on.ca

BOARD OF DIRECTORS 2022 MEETING SCHEDULE

Location: Zoom Videoconference until further notice

Thursday	Time	
February 17	3:00 – 6:00 <u>OR</u> <u>1:00 – 4:00</u> <u>OR</u> <u>2:00 – 5:00</u>	Board Meeting/Inaugural Meeting Election of Chair and Vice Chair
March 24	1:30 – 2:30	Governance & Risk Committee Meeting (ERM Updates)
	3:00 – 6:00 <u>OR</u> <u>1:00 – 4:00</u> <u>OR</u> <u>2:00 – 5:00</u>	Board Meeting
April 7	9:30 – 11:00	Finance & Audit Committee Meeting (Approval of 2021 DRAFT Financial Statements)
April 21	3:00 – 6:00 <u>OR</u> <u>1:00 – 4:00</u> <u>OR</u> <u>2:00 – 5:00</u>	Annual General Meeting/ Board Meeting (Recommendation from F&A to approve 2021 DRAFT Financial Statements)
May 19	TBD	
June 9	9:30 – 11:00 A.M.	Finance & Audit Committee Meeting (Approval of 2023 Preliminary budget and forecasts for discussion with municipalities)
June 30	3:00 – 6:00 <u>OR</u> <u>1:00 – 4:00</u> <u>OR</u> <u>2:00 – 4:00</u>	Board Meeting Meeting may include site tour (TBD)

September 22	3:00 – 6:00 <u>OR</u> <u>1:00 – 4:00</u> <u>OR</u> <u>2:00 – 5:00</u>	Board Meeting
<u>October</u>	3:00 – 6:00	Board Meeting <u>Municipal elections</u>
November 3	9:30 – 11:00	Finance & Audit Committee Meeting (Approval of 2023 Budget)
November 3	1:30 – 2:30	Governance & Risk Committee Meeting (Approval of 2023 Board Workplan/Survey?)
November 10	1:30 – 2:30	President & CEO Compensation Committee
November 17	3:00 – 6:00 <u>OR</u> <u>1:00 – 4:00</u> <u>OR</u> <u>2:00 – 5:00</u>	Board Meeting

APPENDIX A: CH BOARD WORK PLAN 2022 (DRAFT)

Objective(s)/Solution(s)	Actions/Activities	Results	Responsibility	Timeframe											
				JAN	FEB	March	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
Strategic Planning and Organizational Performance	Review Strategic Priorities (Momentum quarterly report) DashBoard review, KPI's		BoD				x Annual report					x Momentum Reporting (mid-year)			
	Business Planning Session		BoD	x 2022 projects review		x Strategy Session			x Preliminary 2023 priorities						
	Inaugural & AGM		BoD	x Inaugural			x AGM								
Finance and Audit	Approval of Draft and Final Budget		FA / BoD						x					x	
	Approve Audited Financial Statements		FA				x								
	Review Long-term Budget Forecast		FA / BoD											x	
	Evaluate Auditors and Select Auditors (as needed)		FA											x	
	Review, Budget Principles & Policies Related to Financial & Purchasing Matters (as needed, new Board members)		FA				x								
President & CEO Relations	Review and Approve Annual President & CEO Workplan		COMP	x											
	End-of-year review		COMP											x	
	Approve Performance-based Compensation for President & CEO		COMP											x	
Governance & Risk	Risk Management reporting					x								x	
	Review and Approve Governance Policies and Procedures, BoD by-laws		Gov / BoD											x	
	Conduct Board Performance Self Assessment Survey and Review Results		Gov											x	
	Approve Annual Board Workplan		Gov / BoD											x	
	Board Development & Member Orientation Program	Engagement levels	Gov												
	Election of Board Chair and Vice Chair		BoD	x											
	Election of Committee Chairs/V. Chairs (to be carried out every 4 years - at the same time as Municipal elections)		BoD				x								
	Specific Policy and Procedures Review and Approval		BoD	As needed											
	Functioning as a Hearing Board	Training (legal) on Board Hearing Procedures under CA Act	BoD												
	Approvals under O. Reg 162/06 for Applications that didn't meet Policy		BoD												
	Strategic Advocacy/Position on Environmental Issues and Provincial Reviews		BoD												

REPORT TO: Conservation Halton Governance & Risk Committee

REPORT: # GC 02 21 03

FROM: Hassaan Basit, President & CEO

DATE: November 4, 2021

SUBJECT: Amendments to Halton Region Conservation Authority General Membership By-law 2018-01

Recommendation

THAT the Conservation Halton Governance Committee **recommends to the Conservation Halton Board of Directors approval of the amendments to the Halton Region Conservation Authority General Membership By-law 2018-01 which reflect the February 2, 2021, proclamation of a number of governance-related clauses in the Conservation Authorities Act.**

And

THAT the Conservation Halton Governance Committee **recommends to the Conservation Halton Board of Directors approval of the amendments to the Halton Region Conservation Authority General Membership By-law 2018-01 under Section 9. Signing Officers to reflect administrative updates to the titles of authorized signatories within Conservation Halton.**

Background

Recent amendments to the Conservation Authorities Act (CA Act) include a number of amendments related to governance as part of the Province's commitment to modernizing accountability and transparency at Conservation Authorities (CAs). Conservation Halton (CH) has been demonstrating and communicating our commitment to accountability and transparency over the past several years and will continue to do so.

On February 2nd, 2021, a number of governance-related clauses were proclaimed in the *Conservation Authorities Act*, including some amendments which were included in schedule 6 of Bill 229, the *Protect, Support and Recover from COVID-19 Act (Budget Measures)*.

Implementing updates to the Halton Conservation Authority General Membership By-law 2018-01 is a priority identified under the Conservation Halton's Governance Accountability and Transparency Initiative (CHBD 05 21 08/June 17, 2021). The proposed amendments are included in Appendix B attached. The specific amended sections are listed in the following table:

Table of Amendments

Halton Region Conservation Authority General Membership By-law 2018-01

Area of Impact	Section	Change to Act	Changes to the Halton Region Conservation Authority General Membership By-law 2018-01 (Revised October 2021)
Municipal Appointments	14(1.1), 14(1.2)	At least 70% of a municipality's appointees must be municipal councillors, effective January 1, 2023 Municipality can apply to Minister to have percentage reduced; the decision is at the Minister's direction (including adding any conditions or restrictions).	C. Governance a) Appointments Participating Municipalities within the jurisdiction of the Conservation Authority shall appoint Members in accordance with Section 14 of the Act (option to also reference existing municipal agreement / Order in Council). Appointed Members must reside in a Participating Municipality within the Authority's area of jurisdiction. Participating municipalities must ensure that at least 70 percent of its appointees are selected from among the members of the municipal council or apply to the Minister for permission to appoint less than this percent. Additional appointees may include citizens as well as an additional member who may be appointed by the Minister as a representative of the agricultural sector
Agricultural Appointee	14(4), 14(4.0.1), 14(4.1)	The Minister has the authority to appoint an additional member to a conservation authority to represent the agricultural sector. The voting powers of such a representative are limited (i.e. can't vote on a decision to enlarge, amalgamate or dissolve an authority or on budgetary matters presented at a meeting). Term up to 4 years, as determined by Minister	C. Governance a) Appointments An additional agricultural sector representative may be appointed to the Authority by the Minister. D. Meeting Procedures 13. Voting Where a member has been appointed by Minister as a representative of the agricultural sector, the member shall not vote on: a resolution to enlarge an authority's area of jurisdiction; a resolution to amalgamate the Authority with another conservation authority; a resolution to dissolve the Authority; or, a resolution related to any budgetary matter.
Agenda/ Minutes	15(2.1), 15(2.2)	Authority and executive committee meeting agendas to be available to the public before a meeting takes place and the minutes are to be available to the public within 30 days following a meeting. Both to be available by posting on website or by any other means the authority considers appropriate.	Section D. Meeting Procedures 19. Minutes of Meetings After the minutes have been approved by resolution, original copies shall be signed by the President & CEO/Secretary-Treasurer and copies of all non-confidential minutes shall be posted on the Authority's website. Minutes shall be made available for review on the Authority's website within 30 days of the meeting. Such minutes shall also be available for review by any member of the public at the Authority's

Table of Amendments

Halton Region Conservation Authority General Membership By-law 2018-01

Area of Impact	Section	Change to Act	Changes to the Halton Region Conservation Authority General Membership By-law 2018-01 (Revised October 2021)
			administration centre Minutes shall be made available in alternative formats, in accordance with the Accessibility for Ontarians with Disabilities Act, if requested by interested parties.
Chair/Vice Chair Term	17(1.1), 17(1.2), 17(1.3)	<p>A chair or vice-chair shall hold office for a term of one year and shall serve for no more than two consecutive terms.</p> <p>Appointments must rotate amongst participating municipalities, a member from a specific municipality cannot be appointed to succeed an outgoing chair or vice-chair appointed by the same municipality.</p> <p>The Minister may grant permission to appoint a chair or vice-chair for a term of more than one year or to hold office for more than two consecutive years or waive the rotating provision. Conservation Halton has received an exception from MCEP until the 2023 annual general meeting. The exception was granted by the Minister of the Environment, Conservation and Parks on March 15, 2021.</p>	<p>C. Governance</p> <p>5. Election of Chair and Vice-Chairs</p> <p>Successors to the positions of Chair and Vice-Chair shall be a Member from a different participating municipality from the incumbent. Upon application by an Authority or a participating municipality, the Minister may grant permission for a member who was appointed to the Authority by the same participating municipality that appointed the outgoing Chair or Vice-Chair to serve as Chair or Vice-Chair.</p> <p>6. Maximum Term for Chair and Vice-Chair(s)</p> <p>Both the Chair and Vice-Chair shall hold office for a term of one year and shall serve for no more than two consecutive terms. Notwithstanding these terms, the Minister may grant permission (upon application by an Authority or a participating municipality) for a Chair or Vice-Chair to serve for a term of more than one year or to hold office for more than two consecutive terms.</p>
Powers of authorities	21(1)	Amendments were made to sub-clauses (a), (b), (c) and (p).	Not applicable to the By-law
Annual Audit	38 (1), 38(4)	<p>Annual audits are still required by a person licensed under the <i>Public Accounting Act</i>, 2004 and it is additionally specified that it be prepared in accordance with generally accepted accounting principles for local governments recommended by the Public Sector Accounting Board of the Chartered Professional Accountants of Canada, as they exist from time to time.</p> <p>Within 60 days of receiving the audit report, must make available to the public on its website and any other means the authority considers appropriate.</p>	<p>C. Governance</p> <p>7. Financial Statements and Report of the Auditor</p> <p>The Authority's accounts and transactions will be audited annually by a person licensed under the Public Accounting Act, 2004 and shall ensure that the annual audit is prepared in accordance with generally accepted accounting principles for local governments recommended by the Public Sector Accounting Board of the Chartered Professional Accountants of Canada.</p> <p>BMP Action: CH has always made the board approved audit report available to the public on the CA website.</p>

Conservation Halton Administration is also proposing that **Section 9. Signing Officers of the Halton Region Conservation Authority General Membership By-law 2018-01** be amended to reflect the updates to the titles of authorized signatories within Conservation Halton:

All deeds, transfers, assignments, contracts, and obligations entered into by the Authority shall be signed by the signing officers of the Authority. Signing Officers of the Authority are as follows:

*Chair; Vice Chair; President & CEO/Secretary-Treasurer; ~~Senior Director, Corporate Services;~~
Chief Operating Officer; Director, Parks and Recreation; and Director, Finance as the Signing
Officers for the Authority and their delegates when a Signing Officer position becomes vacant.*

With the updated changes to the titles of authorized signatories at Conservation Halton, under Appendix 4 of the By-law – *President & CEO/Secretary-Treasurer Management Authority Limits Policy*, the Corporate Policy Table needs to reflect these changes. The updated Corporate Policy Table has been included under the current Corporate Policy Table in Appendix A attached. The complete Purchasing Policy Update report will be brought for approval at the November 25, 2021, Conservation Halton Board of Directors Meeting at which time the newly amended By-Law will take effect.

Impact on Strategic Goals

This report supports the Momentum priority of Organizational Sustainability.

Financial Impact

There is no financial impact associated with this report.

Signed & respectfully submitted:



Hassaan Basit
President & CEO/Secretary-Treasurer

FOR QUESTIONS ON CONTENT:

Adriana Birza, Manager, CEO Office
905-336-1158, ext. 2295; abirza@hrca.on.ca



The Halton Region Conservation Authority General Membership By-law No. 2018-01

Adopted: November 22, 2018

Rev.: October 24, 2019

Rev.: April 1, 2020

Rev.: September 24, 2020

Rev.: October 22, 2020

Rev.: November 25, 2021

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THE HALTON REGION CONSERVATION AUTHORITY GENERAL

MEMBERSHIP

By-law No. 2018-01

A. Definitions

“General Membership” means the Halton Region Conservation Authority General Membership as established by Order-in-Council 3988-63 under Section 3.1 of the Conservation Authorities Act, R.S.O. 1990, Chapter C.27;

“Act” means the *Conservation Authorities Act*, R.S.O. 1990, Chapter C.27

“Business Day(s)” means a day other than a Saturday or a Sunday or a civic or public holiday which is a declared holiday in Ontario.

“President & CEO/Secretary-Treasurer” means the President & Chief Executive Officer/Secretary-Treasurer of the Halton Region Conservation Authority, as appointed by the General Membership and which may, by resolution of the General Membership, include the responsibilities of the Secretary-Treasurer, if so designated by resolution of the General Membership.

“Chair” means the Chairperson as referenced in the Act as elected by the Members of the General Membership.

“Civic or Public Holiday” means those listed as holidays in the Employment Standards Act, 2000, Part 1.1, Public Holiday as amended from time to time, with the exception of Easter Monday and Remembrance Day.

“Committee(s)” means any Committee and/or Advisory Board of the General Membership, including but not limited to the PRESIDENT & CEO Compensation Committee, the Finance and Audit Committee and the Governance Committee.

“Delegation” means a person or a group of persons who address the General Membership on behalf of an individual or a group for the purpose of making a presentation to the General Members.

“Fiscal Year” means the period from January 1 through December 31 in each year.

“General Membership” means all of the Members, collectively.

“In Camera” means any regular or special Meeting of the General Membership or any one of its Committees sitting in closed session not open to the public.

“Levy” means the amount of costs apportioned to participating municipalities in accordance with the Act and Regulations under the Act.

“Majority” means half of the votes plus one.

“Member(s)” shall mean the member(s) appointed to the General Membership by the participating municipalities in the General Membership’s area of jurisdiction.

“Meeting” means any regular or special meeting of the General Membership, or any Committee of the General Membership.

“MFIPPA” means the Municipal Freedom of Information and Protection of Privacy Act.

~~**“Minister”** means the minister responsible for the administration of the Act or specific activity of the Authority.~~

“Minister” means the Minister as defined in the Act.

“Non-matching Levy” means the portion of an Authority’s levy that meets the definition of non-matching levy as found in Ontario Regulation 139/96.

“Officer” means an officer of the General Membership empowered to sign contracts, agreements and other documents on behalf of the General Membership in accordance with section 19.1 of the Act, which shall include the Chair, Vice-Chair(s) and the President & CEO/Secretary-Treasurer.

“Participating Municipality” means a municipality that is designated by or under the Act as a participating municipality in a Conservation Authority.

“Pecuniary Interest” includes the financial or material interests of a Member and the financial or material interests of a member of the Member’s immediate family.

“Recorded Vote” means the recording of the name and vote of every Member on a motion during a meeting.

“Staff” means employees of the General Membership as provided for under Section 18(1) of the Act.

“Vice-Chair” means the Vice-Chairperson as elected by the Members of the General Membership. If a first and second Vice-Chair are elected, they shall be called First Vice-Chair and Second Vice-Chair.

“Weighted Majority” means the votes of 51 per cent of those represented after the votes are weighted by the percentage that applies under Ontario Regulation 139/96 for Municipal Levies.

B. General Applications

1. The rules of procedure contained in this By-law shall be observed in all proceedings of the General Membership and its Committees and shall be the rules for the order and dispatch of business at the General Membership.
2. Except as provided elsewhere in this By-law and the rules as set out by legislation any one or more of the rules contained in this paragraph may be temporarily suspended by the General Membership with consent of the majority of the Members present:

- a. Rules with respect to a change in agenda order of proceedings and content
 - b. Rules respecting notice of delegation status
 - c. Rules with respect to the increase of delegation and debate limitations
 - d. Rules to introduce a motion without standard notice
3. This By-law shall not be amended or repealed except by a two-third majority vote of the Members of the General Membership.

C. Governance

1. Members

a) *Appointments*

~~Participating Municipalities within the jurisdiction of the General Membership may appoint Members in accordance with Section 14 of the Act.~~

a) Appointments

Participating Municipalities within the jurisdiction of the Conservation Authority may shall appoint Members in accordance with Section 14 of the Act. An additional agricultural sector representative may be appointed to the Authority by the Minister.

~~Appointed Members must reside in a Participating Municipality within the General Membership's area of jurisdiction and may include citizens as well as elected members of municipal councils. Appointed Members must reside in a Participating Municipality within the Authority's area of jurisdiction. Participating municipalities must ensure that at least 70 percent of its appointees are selected from among the members of the municipal council or apply to the Minister for permission to appoint less than this percent. Additional appointees may and may include citizens as well as an additional member who may be appointed by the Minister as a representative of the agricultural sector.~~
~~elected members of municipal councils.~~

Collectively, the appointed Members comprise the General Membership, and for the purposes of this By-law are also referred to as the General Membership.

b) *Term of Member Appointments*

In accordance with Section 14 of the Act, a Member shall be appointed for a term of up to four years at the discretion of the appointing ~~municipal council.~~ **participating municipality;** The term begins with the first meeting of the General Membership following his or her appointment, and ends immediately before the first meeting of the General Membership following the appointment of his or her replacement. The President & CEO/Secretary-Treasurer shall notify the appropriate municipality in advance of the expiration date of any Member's term, unless notified by the municipality of the Member's reappointment or the appointment of his or her replacement. A Member is eligible for reappointment. A Member can be replaced by a Participating Municipality at the municipality's discretion prior to the end of their term.

c) *Powers of the General Membership*

Subject to the Act and other applicable legislation, the General Membership is empowered without restriction to exercise all of the powers prescribed to the General Membership

under the Act. In addition to the power of a General Membership under s.21 of the Act for the purposes of accomplishing its objects the powers of the General Membership include but are not limited to:

- i. Approving by resolution the creation of committees, advisory boards, members thereof and the terms of reference for the committee and advisory boards;
- ii. Appointing a President & CEO/Secretary-Treasurer, a PRESIDENT & CEO, and a Secretary-Treasurer;
- iii. Terminating the services of the President & CEO/Secretary-Treasurer, PRESIDENT & CEO and Secretary-Treasurer;
- iv. Approving, establishing, and implementing regulations, policies and programs;
- v. Awarding contracts or agreements where the approval of the General Membership is required under the Authority's purchasing policy;
- vi. Appointing an Executive Committee and delegate to an Executive Committee any of its powers except:
 - i. The termination of the services of the President & CEO/Secretary-Treasurer,
 - ii. The power to raise money, and
 - iii. The power to enter into contracts or agreements other than those contracts or agreements as are necessarily incidental to the works approved by the General Membership;
- vii. Approving by resolution, any new capital project of the Authority;
- viii. Approving by resolution, the method of financing any new capital projects;
- ix. Approving details on budget allocations on any new or existing capital projects;
- x. Approving of the total budget for the ensuing year, and approving the levies to be paid by the Participating Municipalities;
- xi. Receiving and approving the Financial Statements and Report of the Auditor for the preceding year;
- xii. Authorizing the borrowing of funds on the promissory note of the Authority in accordance with subsection 3(5) of the Act;
- xiii. Approving by resolution, any proposed ~~expropriation~~ **acquisition** of land or disposition of land, subject to the requirements under the Act;
- xiv. Approving permits or refusing permission as may be required under any regulations made under Section 28 of the Act; and
- xv.** Holding hearings required for the purpose of reviewing permit applications, and advising every applicant of their right to appeal the decision to the Minister through the ~~Mining and Lands Tribunal~~ **Ontario Lands Tribunal**

d) Member Accountability

Participating Municipalities appoint Members to the General Membership as their representatives. Members have the responsibilities of Directors of the corporation that is the General Membership. While the administration is responsible for the day-to-day operations, the General Membership is responsible for matters of governance, ensuring compliance with applicable legislation, and ensuring appropriate policies are in place and for financial soundness of the Authority.

All Members have the responsibility to be guided by and adhere to the Code of Conduct (Appendix 1) and Conflict of Interest Policy (Appendix 2), as adopted by the General Membership.

All Members shall serve in a conscientious and diligent manner. No Member shall use the influence of office for any purpose other than for the exercise of his/her official duties. Every Member will abide by the legislative requirements of the position and this By-law.

Members' responsibilities include:

- i. Attending all meetings of the General Membership;
- ii. Understanding the purpose, function and responsibilities of the General Membership;
- iii. Being familiar with the Authority's statutory and other legal obligations;
- iv. With the administration, setting strategic direction for the Authority;
- v. Adhering to the Code of Conduct;
- vi. Advancing the Strategic Plan;
- vii. Advancing the Mission of the Authority;
- viii. Supporting the fundraising efforts of Conservation Halton Foundation;
- ix. Ensuring fiscal stability of the Authority; and
- x. Recruiting, interviewing and hiring a President & CEO/Secretary-Treasurer and performance managing the work of the President & CEO/Secretary-Treasurer.

e) Applicable Legislation

In addition to the Act, the Members are subject to other legislation including, but not limited to:

- *Municipal Conflict of Interest Act;*
- *Municipal Freedom of Information and Protection of Privacy Act;*
- *Accessibility for Ontarians with Disability Act; and*
- *Ontario Occupational Health and Safety Act*

If any part of the By-law conflicts with any provision of the Municipal Conflict of Interest Act or the Municipal Freedom of Information and Protection of Privacy Act or a provision of a regulation made under one of those Acts, the provision of that Act or regulation prevails.

f) Relationship between Members and Staff

The General Membership relies on the President & CEO/Secretary-Treasurer to manage the operations of the organization, including all employees of the Authority. The President & CEO/Secretary-Treasurer is accountable to the General Membership, working cooperatively to achieve the goals established by the General Membership.

The General Membership will ensure that a process exists for regular performance evaluations of the President & CEO/Secretary-Treasurer.

g) Practicing Effective Human Resources Practices

The Members must act as a team. A strong partnership must be forged between the Members and the President & CEO/Secretary-Treasurer. The President & CEO/Secretary-Treasurer manages the organization and its staff. The following guidelines should be followed to ensure a common voice is heard throughout the organization and by the public at large.

- If a Member has questions on a project or report, such questions should be referred through the President & CEO/Secretary-Treasurer for him/her to invite the appropriate staff to explain the project and answer questions.

- If a Member would like to volunteer to assist in a project, such action should be taken in consultation with the General Membership to organize the process if appropriate.
- If a Member receives a complaint about a staff member or would like to acknowledge a staff member, such information should go through the President & CEO/Secretary-Treasurer in writing.
- If a Member receives a complaint from a staff member, the Member must refer the staff member to the President & CEO/Secretary-Treasurer or if the complaint is against the President & CEO/Secretary-Treasurer to the head of Human Resources Department of the Authority. The head of the Human Resources Department will report directly to the General Membership if the complaint relates to the President & CEO/Secretary-Treasurer.

With respect to staffing issues, the following outlines the responsibilities of the General Membership and the President & CEO/Secretary-Treasurer.

- The General Membership is solely responsible for the following:
 - Recruiting, hiring, evaluating, and dismissing the President & CEO/Secretary-Treasurer;
 - Determining the annual salary and pay for performance of the President & CEO/Secretary-Treasurer
- The General Membership and the President & CEO/Secretary-Treasurer share the following responsibilities in that the recommendation will come from the President & CEO/Secretary-Treasurer and the approval will come from the General Membership;
 - Setting goals for the President & CEO/Secretary-Treasurer;
 - Setting human resource and personnel policies which will have a monetary impact upon the budget; and
 - Setting staff salary schedules and plans as part of the annual budget review process
- The President & CEO/Secretary-Treasurer is responsible for the following:
 - Assessing and approving staffing requirements;
 - Recruiting, hiring, evaluating, promoting, disciplining and dismissing staff;
 - Providing staff direction;
 - Approving staff evaluations;
 - Implementing and administering approved salary schedule and plan;
 - Designing and implementing changes to the organizational structure; and
 - Setting human resource and personnel policies, which have no monetary impact on the budget

h) Members Focusing on Team

The following suggestions regarding focusing on “team results” include:

- a) Concentrate on governing, not micro-managing;
- b) Focus on the big picture;
- c) Practice listening;
- d) Maintain a relationship with the community for which you serve;
- e) Recognize staff as partners; treat them as you expect to be treated;
- f) Don’t refer matters to staff for a further report unless you can cite good reasons to do so, otherwise, vote – the matter may not get any easier;
- g) Use a policy approach;
- h) Respect democracy; and

- i) Be prepared for meetings

i) Employee Appointed to General Membership

In the event that a municipality appoints an employee of the Authority to the General Membership of the Authority, the following process will take place:

- The President & CEO/Secretary-Treasurer shall advise the appointing municipality immediately
- To alleviate any conflict of interest, the employee must either reject the proposed appointment to the General Membership of the Authority or resign from his/her position and employment with the Authority

In the event that a Member of the General Membership of the Authority is interested in applying for any position of employment at the Authority, the Member must first resign his/her position on the Authority's General Membership and such resignation must be made in writing to the appointing municipality with a copy attached to the Authority's application for employment.

2. Officers

The Officers of the General Membership, and their respective responsibilities, shall be:

Chair

- Is a Member of the General Membership;
- Presides at all meetings of the General Membership (and Executive Committee if applicable);
- Calls special meetings if necessary;
- Supports the President & CEO/Secretary-Treasurer to ensure Board resolutions are carried out;
- Assists President & CEO/Secretary-Treasurer in preparing agenda for board meetings where required;
- Periodically consults with General Membership on their roles;
- Represents the General Membership at Conservation Ontario Council meetings;
- Serves as ex-officio Member of Conservation Halton Foundation Board;
- Serves as ex-officio Member of the Committees of the General Membership;
- Acts as a public spokesperson on behalf of the General Membership;
- Serves as signing officer for the Authority;
- Ensures relevant information and policies are brought to the General Membership's attention;
- Keeps the General Membership apprised of significant issues in a timely fashion; and
- Performs other duties when directed to do so by resolution of the General Membership.

With respect to any meetings over which he/she presides, to:

- Preserve order and decide all questions of order, subject to appeal; and without argument or comment state the rule applicable to any point of order if called upon to do so;
- Receive and submit to a vote all motions presented by the Members which do not contravene the rules and regulations of the General Membership;

- Announce the results of the vote on any motions so presented;
- Decline to put to a vote motions which infringe upon the rules and regulations, or which are beyond the jurisdiction of the General Membership;
- Enforce on all occasions the observance of order and decorum among the Members;
- Adjourn the meeting when business is concluded;
- Adjourn the sitting without any questions being asked or suspend or recess the sitting for a time to be named if considered necessary;
- Represent and support the General Membership, declaring its will and implicitly obeying its decisions in all things; and
- Perform other duties when directed to do so by resolution of the General Membership.

Vice-Chair(s)

- Is/are a Member(s) of the General Membership;
- Attends all meetings of the General Membership (and Executive Committee if applicable);
- Carries out assignments as requested by the Chair;
- Understands the responsibilities of the Chair and acts as Chair immediately upon the death, incapacity to act, absence or resignation of the Chair until such time as a new Chair is appointed or until the Chair resumes his/her duties;
- Serves as a member of Conservation Halton Foundation Board; and
- Serves as a signing officer for the Authority.

President & CEO/Secretary-Treasurer

Responsibilities of the President & CEO/Secretary-Treasurer as assigned by the General Membership include, but are not limited to the following:

- Is an employee of the Authority;
- Attends all meetings of the General Membership (and Executive Committee if applicable) or designates an acting PRESIDENT & CEO if not available;
- Works in close collaboration with the Chair and Vice-Chair(s) and keeps them apprised of relevant information and significant issues in a timely fashion;
- Develops a strategic plan for approval by the General Membership and implements short and long-range goals and objectives;
- Is responsible for the management of the operations of the Authority, including all staff and programs of the Authority;
- Ensures resolutions of the General Membership are implemented in a timely fashion;
- Develops and maintains effective relationships and ensures good communications with Participating Municipalities, federal and provincial government ministries/agencies, Indigenous communities, other conservation authorities, Conservation Ontario, stakeholders, community groups and associations;
- Makes recommendations as applicable to the General Membership regarding suggested policy changes;
- Acts as public spokesperson on behalf of the Authority;
- Represents the Authority at Conservation Ontario Council, ad hoc and Task Force meetings;

- Negotiates and enters into contracts with external agencies/partners to carry out the goals of the organization in accordance with the Purchasing of Goods and Services Policy;
- Fulfills the requirements of the Secretary-Treasurer as defined in the Act;
- Is the custodian of the Corporate Seal
- Serves as a member of Conservation Halton Foundation Board;
- Serves as a signing officer for the Authority, and
- Ensures the practices, activities, and decisions of the organization consider the provisions of the President & CEO/Secretary-Treasurer Management Authority Limits Policy (Appendix 4)

3. Absence of Chair and Vice-Chair(s)

In the event of the absence of the Chair and Vice-Chair(s) from any meeting, the Members shall appoint an acting Chair who, for the purposes of that meeting has all the powers and shall perform all the duties of the Chair.

4. Representatives to Conservation Ontario Council

The General Membership may appoint up to three Representatives to Conservation Ontario Council ("Council"), designated as Voting Delegate and Alternate(s). Council will consist of the Voting Delegates appointed by each Member Conservation General Membership. The Voting Delegate and Alternates shall be registered with Conservation Ontario annually.

5. Election of Chair and Vice-Chairs

The election of the Chair and one or more Vice-Chairs shall be held in accordance with the Act: at the Annual/Inaugural meeting of the General Membership held prior to May 31 of each year in accordance with the General Membership's Procedures for Election of Officers (Appendix 3). **Successors to the positions of Chair and Vice-Chair shall be a Member from a different participating municipality from the incumbent. Upon application by an Authority or a participating municipality, the Minister may grant permission for a member who was appointed to the Authority by the same participating municipality that appointed the outgoing Chair or Vice-Chair to serve as Chair or Vice-Chair.**

6. Maximum Term for Chair and Vice-Chair(s)

Both the Chair and Vice-Chair shall hold office for a term of one year, and shall serve for no more than two consecutive terms. Notwithstanding these terms, the Minister may grant permission (upon application by an Authority or a participating municipality) for a Chair or Vice-Chair to serve for a term of more than one year or to hold office from more than two consecutive terms.

6. Appointment of Auditor

The General Membership shall appoint an auditor for the coming year at the Annual Meeting in accordance with Section 38 of the Act.

7. Financial Statements and Report of the Auditor

The General Membership's accounts and transactions will be audited annually by a person licensed under the *Public Accounting Act, 2004* and shall ensure that the annual audit is prepared in accordance with generally accepted accounting principles for local governments recommended by the Public Sector Accounting Board of the Chartered Professional Accountants of Canada.

The General Membership shall receive and approve the Audited Financial Statements and Report of the Auditor annually for the previous year at the meeting of the General Membership held prior to the first day of May each year.

The General Membership shall forward copies of the Audited Financial Statements and Report of the Auditor to Participating Municipalities and the Minister in accordance with Section 38 of the Act and will make the Audited Financial Statements available to the public **on the Authority's website within sixty (60) days of receiving the Auditor's Report.**

The Audited Financial Statements will be included in the Authority's Annual Report. ~~and on the Authority's website.~~

8. Borrowing Resolution

If required, the General Membership shall establish a borrowing resolution by March 31 of each year and such resolution shall be in force until it is superseded by another borrowing resolution.

9. Signing Officers

All deeds, transfers, assignments, contracts, and obligations entered into by the Authority shall be signed by the signing officers of the Authority. Signing Officers of the Authority are as follows:

Chair; Vice Chair; President & CEO/Secretary-Treasurer; ~~Senior Director, Corporate Services;~~ **Chief Operating Officer;** Director, Parks and Recreation; and Director, Finance as the Signing Officers for the Authority and their delegates when a Signing Officer position becomes vacant;

The President & Chief Executive Officer/Secretary-Treasurer may delegate approval of signing authority to positions to enable decisions to be made by those persons who are in the most appropriate position to do so within Conservation Halton, in terms of their accountability, control and knowledge. Once the vacant Signing Officer position is filled/no longer vacant the delegated authority goes back to the Signing Officer of the Authority.

A Signing Officer cannot assign responsibility to someone else for temporary absences or vacations.

Any delegation of signing authority will be signed off by the President & Chief Executive Officer/ Secretary-Treasurer and the duration (if known) should be agreed upon at the time when the need for delegation arises.

The Delegation of Signing Authority must be kept on file in the office of the Signing Officer and be readily available as required by Internal Audit and/or Finance.

Any two of the named Signing Officer positions shall be required for signing bank documents and agreements that bind the Authority and any other document or agreement that requires two signatures. In all other circumstances where the contract or agreements are necessarily incidental to the works approved by the Authority, a single signature of the President & CEO/Secretary-Treasurer, or his/her delegate, will be sufficient to bind the Authority.

Signing authority that was authorized by any previous Administration Regulation or By-law is superseded by this By-law.

10. Levies and Audit Reports

The levy due to the Authority from Participating Municipalities shall be communicated to those municipalities in accordance with the Act and any applicable Regulations.

Each watershed municipality will be advised of a scheduled discussion of the budget and levy of the Authority 30 days prior to the General Membership's Board meeting conducting such discussion.

Within 30 days following the adoption of the General Membership's budget in each year and, if so required by the municipal By-law, no later than April 1, in each year, the President & CEO/Secretary-Treasurer or the head of the Financial and Administrative Services Department shall send to the Clerk of each watershed municipality, a notice indicating the monies due to the Authority from each watershed municipality, and the apportionment of those monies due shall be as required by the Act and as approved by the General Membership. A copy of the Auditor's report for the preceding year shall be sent to the Clerk of each watershed municipality and to the Ministry.

11. Executive Committee

The General Membership may appoint an executive committee at the first meeting of the General Membership each year in accordance with the Section 19 of the Act and Section 1(c) of this By-law.

12. Advisory Boards and Other Committees

In accordance with Section 18(2) of the Act, the General Membership shall establish such advisory boards as required by regulation and may establish such other advisory boards or committees as it considers appropriate to study and report on specific matters.

The General Membership shall approve the terms of reference for all such advisory boards and committees, which shall include the role, the frequency of meetings and the number of members required.

Resolutions and policies governing the operation of the General Membership shall be observed in all advisory board and committee meetings.

Each advisory board or committee shall report to the General Membership, presenting any recommendations made by the advisory board or committee.

The dates of all advisory board and committee meetings shall be made available to all Members of the General Membership.

Committees of the General Membership include but are not limited to:

- President & CEO/Secretary-Treasurer Compensation Committee (Comp)
- Finance and Audit Committee (F&A)
- Governance Committee (Gov)

13. Remuneration of Members

Members who are present for the full duration of a duly called Meeting(s) and who are officially appointed to the Board(s) or Committee(s) holding such Meeting shall be entitled to payment of a per diem. No Member may collect more than one per diem per day. Per diem rates shall be set and approved by the General Membership from time to time. Where a Member is duly authorized to attend to General Membership's business other than at a Meeting, the Member shall be entitled to a per diem for attending at such business.

Members who take on additional roles as Chair/Vice- Chair of the General Membership may be entitled to the payment of additional per diems/compensations as provided in the PRESIDENT & CEO Departmental budget allocation for elected officials.

Duly authorized Members who attend meetings of the General Membership, Committees/ad-hoc meetings, shall be entitled to a return travel allowance between the Member's principal residence and the meeting place.

Where a Member is duly authorized by the General Membership to attend to General Membership's business other than a Meeting, the Member shall be eligible for a travel allowance from his principal place of residence to the location of the business and return.

14. Records Retention

The General Membership shall keep full and accurate records including, but not limited to:

- Minutes of all meetings of the General Membership, including registries of statements of interests in accordance with the *Municipal Conflict of Interest Act*;
- Assets, liabilities, receipts and disbursements of the General Membership and Financial Statements and Reports of the Auditors;
- Human Resources Files for all employees and Members as applicable;
- Workplace Health and Safety documents including workplace inspections, workplace accidents, investigations, etc.;
- Electronic Communications including emails;
- Contracts and Agreements entered into by the Authority;
- Strategic Plans and other documents providing organizational direction;
- Projects of the Authority;
- Technical Studies and data gathered in support of Programs of the Authority;
- Legal Proceedings involving the General Membership; and
- Incidents of personal injury or property damage involving the General Membership and members of the public.

Such records shall be retained and protected in accordance with all applicable laws and the Records Retention Policy of the Authority as approved by the General Membership from time-to-time.

15. Records Available to Public

Records of the General Membership shall be made available to the public, subject to requirements of the *Municipal Freedom of Information and Protection of Personal Privacy Act* (MFIPPA).

The General Membership shall designate the Chair to act as head of the Authority for the purposes of MFIPPA.

The Chair shall delegate the powers and duties vested in the head to the member of Staff or their designate who is the FOI Coordinator.

16. By-law Review

In accordance with the Act, this By-law shall be reviewed by the General Membership to ensure the By-law is in compliance with the Act and any other relevant law. The General Membership shall review the By-law every four years to ensure best management practices in governance are being followed.

17. By-law Available to Public

In accordance with the Act, the General Membership shall make its By-law available to the public on the General Membership's website. The By-law shall also be available for review by any member of the public at the Authority's administration centre or provided in alternative formats, in accordance with the *Accessibility for Ontarians with Disabilities Act*, if requested by interested parties.

18. Enforcement of By-law and Policies

The Members shall respect and adhere to all applicable By-law and policies (for example, the Code of Conduct and Conflict of Interest). The General Membership may take reasonable measures to enforce its By-law and policies, including the enforcement mechanisms under the Municipal Conflict of Interest Act. The procedure for enforcement shall be as follows:

1. Complainants (which includes any Member, Staff, or any member of the general public) may use two different complaint procedures to indicate concerns regarding a breach of the By-law, policies and Code of Conduct (collectively in this section the "Policies"):

- a) Informal Complaint Procedure
- b) Formal Complaint Procedure

2. The Informal Complaint Procedure will provide an opportunity to immediately identify and address behaviours and activities which are considered to be in contravention of the Policies.

a) The Complainant shall:

- i. Advise the Member that his/her behaviour or activity contravenes the Policies. This may or may not be in writing;
- ii. Request that the Member immediately discontinue the prohibited behaviour or activity;

- iii. Keep a written record of the incident including date, time, location, other persons present and any other relevant information;
 - iv. If applicable, advise the Member of his/her satisfaction or dissatisfaction with the response;
 - v. In the event of a dissatisfactory or no response, consider the need to pursue the matter in accordance with the formal complaint procedure.
3. Members are encouraged to initially pursue the informal complaint procedure as a means of stopping and remedying a behaviour or activity contrary to the Policies. However, it is not a precondition or a prerequisite that the informal complaint procedure be initiated or completed prior to pursuing the formal complaint procedure as described in the Formal Complaint Procedure option.
4. The Formal Complaint Procedure shall be as follows:
- a) A dated, signed and written complaint detailing the relevant particulars shall be submitted to the Chair.
 - b) Upon receipt of the complaint, the Chair or designate shall prepare an information package that shall include the following:
 - i. The Complaint;
 - ii. A copy of the Policies that are relevant;
 - iii. Such other information or documentation that the Chair deems relevant.
 - c) The Governance Committee not directly involved in the complaint shall address the complaint. In the case where the Chair is involved in the complaint, the Vice-Chair will receive the complaint.
 - d) The information package referred to above shall be provided to the Member alleged to be in contravention forthwith and to the Governance Committee.
 - e) The Chair shall submit a brief report to the General Membership in closed session at a regularly scheduled General Membership Meeting advising that a complaint was received, providing the following information:
 - i. Name of Alleged Offender;
 - ii. Name of Complainant;
 - iii. The provision of the Policies allegedly contravened;
 - iv. A summary of the facts constituting the alleged contravention;
 - v. The date of request.
 - f) The Governance Committee, where appropriate, shall complete an investigation of the complaint (which shall include an opportunity to the affected Member to respond to the allegation) within 30 days of receipt of the information package or such longer period as it may require not to exceed 60 days, and provide a report to the Chair of the General Membership (or Vice-Chair as the case may be) on the matter as to the validity of the complaint and its written recommendations as to the appropriate measures, if any, to be taken by the General Membership.

The report shall be tabled in closed session at the next regularly scheduled Meeting.

g) The General Membership shall receive the report and recommendations from the Governance Committee and may determine the appropriate action(s) to be taken, if any.

Following such determination by the General Membership the appointing municipality shall be notified of such determination.

19. Indemnification of Members, Officers and Employees

The General Membership undertakes and agrees to indemnify and save harmless its Members, Officers and Employees and their heirs and legal representatives, respectively, from and against all costs, charges and expenses, including all amounts paid to settle an action or satisfy any judgement, reasonably incurred by any such Member, Officer or Employee in respect of any civil, criminal or administrative action or proceeding to which any such Member, Officer or Employee is made a party by reason of being a Member, Officer or Employee of the General Membership (except in respect of an action by or on behalf of the General Membership to procure a judgment in its favour) if;

- Such Member, Officer or Employee acted honestly, in good faith with a view to the best interests of the General Membership and within the scope of such Member's, Officer's or Employee's duties and responsibilities, and
- In the case of a criminal or administrative action or proceeding that is enforced by a monetary penalty that such Member, Officer or Employee had reasonable grounds for believing that the conduct was lawful.

D. Meeting Procedures

The meeting procedures below governing the procedure of the General Membership shall be observed in all Meetings of the General Membership including its Committees and Advisory Board Meetings, as far as they are applicable, and references to Committees or Advisory Board may be substituted for the word General Membership as applicable.

1. Rules of Procedure

All matters of procedure not specifically dealt with under the Act and this By-law and its Appendices, shall be decided by the Chair in accordance, as far as is reasonably practicable, with Robert's Rule of Order or generally accepted rules or procedure.

2. Notice of Meeting

The General Membership shall approve a schedule for regular Meetings in advance. The President & CEO/Secretary-Treasurer shall send Notice of regular Meetings to all Members at least seven calendar days in advance of a Meeting. Notice of all regular or special Meetings of the General Membership or its Committees shall be made available to the public as soon as possible after its delivery to General Membership.

The Annual/Inaugural Meeting of the General Membership shall be held prior to May 31 each year. The regular Meetings of the General Membership shall be held according to the meeting schedule adopted by the General Membership. The Authority may alter the schedule of meetings from time to time. The Chair of the General Membership may call additional Meetings on any vacant/available day.

Notice of any Meeting shall indicate the type, meeting number, time and place of that meeting and the agenda for the Meeting.

Notice(s) of each Authority General Membership, and any other Committee Meetings shall be given to each Member of the General Membership, the President & CEO/Secretary-Treasurer and posted on the Authority's website.

All material and correspondence to be dealt with by the General Membership at a Meeting will be submitted to the President & CEO/Secretary-Treasurer, at least ten calendar days, if it is to be included in the published agenda, or at least five Business Days, if it is to be introduced at the meeting, in advance of the Meeting where it is to be dealt with.

The Chair may, at his/her pleasure, call a special Meeting of the General Membership as necessary on one calendar day notice in writing or email. That notice shall state the business of the special Meeting and only that business shall be considered at that special Meeting. Any Member, with 50% support of the other Members, may also request the Chair to call a Meeting of the General Membership and the Chair will not refuse.

The Chair or the President & CEO/Secretary-Treasurer may, by notice in writing or email delivered to the Members so as to be received by them at least 24 hours before the hour appointed for the Meeting, postpone or cancel any Meeting of a Committee or Advisory Board until the next scheduled date for the specific Committee or Advisory Board affected.

The Chair or the President & CEO/Secretary-Treasurer may, if it appears that a storm or like occurrence will prevent the Members from attending a Meeting, postpone that Meeting by advising as many Members as can be reached. Postponement shall not be for any longer period than the next regularly scheduled meeting date.

Notwithstanding any other provision of this By-law, an emergency Meeting may be held, without notice, to deal with an emergency situation, provided that an attempt has been made to reach the Members by telephone or email at their respective residences or places of business as appropriate and applicable. No business except business dealing directly with the emergency situation shall be transacted at such emergency Meeting.

A Meeting which has been interrupted through the loss of a quorum may be reconvened without notice, provided that the Meeting is reconvened on the same day.

3. Meetings Open to Public

All Meetings of the General Membership and other Committees shall be open to the public.

A Meeting or part of a Meeting may be closed to the public if the subject matter being considered is identified in the closed meeting section of the Agenda and the subject matter meets the criteria for a closed meeting as defined in this By-law.

4. Agenda for Meetings

Authority staff, under the supervision of the President & CEO/Secretary-Treasurer, shall prepare an agenda for all regular Meetings of the General Membership that shall include, but not necessarily be limited to, the following headings:

Agenda Items:

1. Roll Call and Mileage
2. Acceptance of Agenda Items as listed or identification of additional topics Members and Staff wish to have listed on the agenda
3. Approval of Minutes of Previous Meeting
4. Disclosure of Pecuniary Interest
5. Delegations/Presentations, if applicable
6. Consent Items
7. Action Items
8. In Camera Items, if applicable
9. Other Business Items
10. Adjournment

The agenda for special Meetings of the General Membership shall be prepared as directed by the Chair.

At the Annual/Inaugural Meeting (s) of the General Membership in each year, Authority Staff, under the supervision of the President & CEO/Secretary-Treasurer shall prepare an agenda for the use of the Members that includes but is not necessarily limited to the following items of business:

Annual/Inaugural Meeting(s) Agenda Items:

1. Roll Call and Mileage
2. Acceptance of Agenda Items as listed or identification of additional topics Members and Staff wish to have listed on the agenda
3. Disclosure of Pecuniary Interest
4. Delegations/Presentations, if applicable
5. Action Items, to include:
 - a. Election of Officers of the General Membership
 - b. Borrowing By-law
 - c. Signing Officers
 - d. Appointment of the Auditor for the Upcoming Year
 - e. Approval of Financial Statements and Report of the Auditor for the prior year
 - f. Election of Officers
 - g. Approval of Budgets and Levies
6. Other Business Items
7. Adjournment

Agendas for Meetings shall be forwarded to all Members at least five Business Days in advance of the Meeting. Such agendas shall be made available to the public on the General Membership's website at the same time, unless the Meeting is closed to the public in accordance with this By-law. Such agendas shall also be available in alternative formats, in accordance with the *Accessibility for Ontarians with Disabilities Act*, if requested by interested parties.

The agenda shall be accompanied by any relevant material to be brought before such Meeting. The agenda page shall list at the top of the page the following:

- Name of Committee
- Meeting number
- Date of meeting

- Time of meeting
- Place of meeting

All matters requiring the General Membership's attention shall be submitted in writing to the President & CEO/Secretary-Treasurer or designate for placement on the agenda for the General Membership. The deadline for receiving items for consideration at the next regularly scheduled meeting will be seven Business Days prior to the said meeting, provided that no report is required.

Any items received after that time will be placed on the agenda for the next Meeting. If any item that is received requires a report from a particular Department, it will be the responsibility of that Department Director to advise the President & CEO/Secretary-Treasurer when the report will be available for inclusion in the agenda. The Department Director shall advise the individual or group as to when the matter will be considered.

Requests from Members for Staff to prepare additional information related to an agenda item of a General Membership Meeting agenda should be made to the President & CEO/Secretary-Treasurer prior to the Meeting.

Requests from Members for Staff to prepare information about non-agenda items should be made at the General Membership's Meeting and voted upon. Included in the request for information would be a target date for the information to come back to the General Membership.

All reports classified as "Action Items" from Departments to the General Membership must be approved by the President & CEO/Secretary-Treasurer or designate for inclusion and circulation on all agendas.

All reports classified as "Consent Items" from Departments to the General Membership must be approved for inclusion and circulation by the appropriate Department Director as designated by the President & CEO/Secretary-Treasurer.

An item of business not listed on the General Membership agenda cannot be introduced at a General Membership Meeting without the approval of the Members expressed by motion. Items of an emergent or congratulatory nature may be introduced under the "Other Business Items" of the agenda.

There shall only be an addendum to the agenda for a meeting when an item(s) arises after the closing of the deadline for items for the agenda and prior to the meeting, which item(s) the Chair or the President & CEO/Secretary-Treasurer believe are of an urgent nature requiring immediate consideration of the body concerned.

Members will receive, by email, a copy of the agenda. For those Members who have requested a hard copy, it shall be couriered to their residence or place of business, as far as possible, five Business Days preceding the meeting.

5. Quorum

At any Meeting of the General Membership, a quorum consists of one-half of the Members appointed by the Participating Municipalities, except where there are fewer than six such

Members, in which case three such Members constitute a quorum. At any Committee (if applicable) or Advisory board Meeting, a quorum consists of one-half of the Members of the Committee (if applicable) or Advisory board.

If there is no quorum within one half-hour after the time appointed for the Meeting, the Chair for the Meeting shall declare the Meeting adjourned due to a lack of a quorum, or shall recess until quorum arrives, and the recording secretary shall record the names of the Members present and absent.

If during a General Membership or Committee Meeting a quorum is lost, the Chair shall declare that the Meeting shall stand recessed or adjourned, until the date of the next regular meeting or other meeting called in accordance with the provisions of this By-law. Agenda items including delegations present may be covered and presented and issues discussed, but no formal decisions may be taken by the remaining Members who do not constitute a quorum.

Notwithstanding the provisions of this section, where the number of Members who are disabled from participating in a Meeting due to the declaration of a conflict of interest is such that at that Meeting the remaining Members are not of sufficient number to constitute a quorum, the remaining number of Members shall be deemed to constitute a quorum, provided such number is not less than five.

6. Order of Business

The business of the General Membership shall be taken up in the order in which it stands on the agenda unless otherwise decided by a majority of those Members present.

No Member shall present any matter to the General Membership for its consideration unless the matter appears on the agenda for the Meeting of the General Membership or leave is granted to present the matter by the affirmative vote of a majority of the Members present.

7. Debate

The General Membership shall observe the following procedures for discussion/debate on any matter coming before it:

- a) A Member shall be recognized by the Chair prior to speaking;
- b) Every Member, before speaking to any question or motion at a General Membership Meeting, shall first receive recognition from the Chair and respectfully address the Chair;
- c) Where two or more Members rise to speak, the Chair shall designate the Member who has the floor, who shall be the Member who in the opinion of the Chair was first recognized;
- d) All questions and points of discussion shall be directed through the Chair;
- e) Where a motion is presented, it shall be moved and seconded before debate;
- f) No Member shall speak more than once to the same question without leave from the Chair, except in explanation of a material part of the speech;
- g) Any Member may ask a question of the previous speaker through the Chair;
- h) The Member who has presented a motion, other than a motion to amend or dispose of a motion, may speak again to the motion immediately before the Chair puts the motion to a vote;

- i) When a motion is under debate, no motion shall be received other than a motion to amend, to defer action, to refer the question, to take a vote, to adjourn, or to extend the hour of closing the proceedings;
- j) When a motion is under consideration, only one amendment is permitted at a time; and
- k) If a Member considers that a ruling made by the Chair is not in order, an appeal may be made. When challenged, the Chair may give a brief explanation of the ruling and ask the Members "Is the ruling of the Chair/Committee Chair upheld?" In the event of a tie vote, the ruling is upheld. The decision of the Chair under this section is final.

8. Matters of Precedence

The following matters shall have precedence over the usual order of business:

- a) a point of order;
- b) matter of privilege;
- c) a matter of clarification;
- d) a motion to suspend a rule of procedure or to request compliance with the rules of procedure;
- e) a motion that the question be put to a vote; and
- f) a motion to adjourn.

9. Members' Attendance

The General Membership shall provide a listing of Members' attendance at scheduled Meetings of the General Membership to the Participating Municipalities at least annually.

Upon a Member's vacancy due to death, incapacity or resignation occurring in any office of the General Membership, the General Membership shall request the municipality that was represented by that Member to appoint a Member replacement.

Each Member shall attend at least 60% of the Meetings in a calendar year/12 months.

If a Member is unable to attend any Meeting and wishes to bring any additional information or opinion pertaining to an agenda item to the General Membership, the Member shall address in writing or email to the Chair or President & CEO/Secretary-Treasurer such correspondence prior to the start of the Meeting. The correspondence shall be read aloud by the President & CEO/Secretary-Treasurer without comment or explanations.

10. Electronic Participation

Members may participate in a Meeting that is open to the public by telephone or other electronic means that permits all participants to communicate adequately with each other during the Meeting. A Member participating in a Meeting by electronic means shall be counted in determining quorum and can vote provided that they have all relevant information available to them. A Member shall participate by electronic means in a Meeting that is closed to the public.

During any period, in all or part of an area over which Conservation Halton has jurisdiction, under any circumstances that may prevent members of the General Membership from meeting in person (when it is deemed appropriate by the conservation authority to do so), the By-law provides for electronic meeting procedures as per section 10.1.

10.1. Electronic participation

- a) That members of the General Membership be permitted to participate in meetings electronically, which shall include the ability of those members participating electronically to register votes.
- b) That any member of the General Membership who is participating electronically in a meeting may be counted in determining whether or not a quorum of members is present at any point in time during the meeting in accordance with the requirement in subsection 16 (2) of the Conservation Authorities Act
- c) That any member of the General Membership can participate electronically in a meeting that is closed to the public.
- d) That any hearing or appeal that is dealt with in the By-law can be conducted electronically with provisions for applicants and their agents to participate, if the Authority holds any such hearing or appeal during any period where an emergency has been declared to exist.

11. Delegations

Any person or organization who wishes to address the General Membership may make a request in writing or email to the President & CEO/Secretary-Treasurer. The request should include an accurate and thorough summary or statement of the issue or matter involved and indicate the name of the proposed speaker(s). If such request is received ten calendar days in advance of a scheduled Meeting, the delegation shall be listed on the published agenda.

Any person or organization requesting an opportunity to address the General Membership, but not having made a written request to do so in the timelines specified above, may appear before the Meeting if approved by two thirds of Members present, or shall be listed on the published agenda for the following Meeting.

Upon receipt of the written notice requesting delegation status, the President & CEO/Secretary-Treasurer shall list the delegation on the next agenda for a General Membership Meeting only if:

- The matter is one which is to be dealt with by the General Membership at the next Meeting, or
- The request for delegation to the General Membership is approved by the Chair.

Special consideration may be given to any person, group of persons, firm or organization not being a Member of the General Membership, or an appointed official of the General Membership, requesting delegation status at a General Membership Meeting up to 24 hours prior to the meeting date concerned. The status of the request to speak to the General Membership may be granted upon the majority vote of the Members present at the General Membership meeting. The request should include accurate and thorough summary or statement of the issue or matter involved and indicate the name of the proposed speaker(s).

Except by leave of the Chair or appeal by the leave of the General Membership, delegations shall be limited to one (1) speaker for not more than 5 minutes.

Upon the completion of a presentation to the General Membership by a delegation, any discourse between Members of the General Membership and the delegation shall be limited to Members, through the Chair, asking questions for clarification and obtaining additional, relevant information only. Members shall not enter into debate with the delegation respecting the presentation.

No delegation shall:

- Speak disrespectfully of any person;
- Use offensive words or unparliamentarily language;
- Speak on any subject other than the subject for which they have received approval to address the General Membership; and
- Disobey the rules of procedure or a decision of the Chair or of the General Membership.

Speakers will be requested not to repeat what has been said by previous speakers at the meeting. A returning delegation will only be allowed to speak again if new, relevant information has become available since their previous presentation. The Chair may choose to end a returning delegation's presentation if, in the opinion of the Chair, the new information being presented is not relevant to a decision facing the General Membership.

The Chair may curtail any delegation, any questions of a delegation or debate during a delegation for disorder or any other breach of this By-law and, if the Chair rules that the delegation is concluded, the person or persons appearing shall immediately withdraw.

12. Meetings with Closed "In Camera" Sessions

Every Meeting of the General Membership and Committees shall be open to the public as per Section 15(3) of the Act, subject to the exceptions set out below.

Meetings may be closed to the public if the subject matter being considered relates to:

- a) The security of the property of the Authority;
- b) Personal matters about an identifiable individual, including employees of the Authority;
- c) A proposed or pending acquisition or disposition of land by the Authority;
- d) Labour relations or employee negotiations;
- e) Litigation or potential litigation, including matters before administrative tribunals (e.g. ~~Local Planning Appeal Tribunal~~ **Ontario Land Tribunal, affecting** the Authority;
- f) Advice that is subject to solicitor-client privilege;
- g) A matter in respect of which the General Membership or committee or other body may hold a closed meeting under another Act;
- h) Information explicitly supplied in confidence to the General Membership by Canada, a province or territory or a Crown agency of any of them;
- i) A trade secret or scientific, technical, commercial, financial or labour relations information, supplied in confidence to the General Membership, which, if disclosed, could reasonably be expected to prejudice significantly the competitive position or interfere significantly with the contractual or other negotiations of a person, group of persons, or organization;
- j) A trade secret or scientific, technical, commercial or financial information that belongs to the Authority and has monetary value or potential monetary value; or

- k) A position, plan, procedure, criteria or instruction to be applied to any negotiations carried on or to be carried on by or on behalf of the General Membership.

The General Membership shall close a Meeting if the subject matter relates to the consideration of a request under the Municipal Freedom of Information and Protection of Privacy Act, and the General Membership is the head of an institution for the purposes of the Municipal Freedom of Information and Protection of Privacy Act.

A Meeting of the General Membership or Committee may also be closed to the public if:

- a) The Meeting is held for the purpose of educating or training the Members, and
- b) At the Meeting, no Member discusses or otherwise deals with any matter in a way that materially advances the business or decision-making of the General Membership or other Committee.

Before holding a Meeting or part of a Meeting that is to be closed to the public, the Members shall state by resolution during the open session of the Meeting that there will be a Meeting closed to the public and the general nature of the matter to be considered at the closed Meeting. Once matters have been dealt with in a closed Meeting, the General Membership shall reconvene in an open session.

The General Membership shall not vote during a Meeting that is closed to the public, unless:

- a) The Meeting meets the criteria outlined in this By-law to be closed to the public; and
- b) The vote is for a procedural matter or for giving directions or instructions to Officers, employees or agents of Authority.

Any materials presented to the General Membership prior to and during a closed Meeting in respect to a confidential Agenda item shall be returned to the President & CEO/Secretary-Treasurer prior to departing from the Meeting and shall be treated in accordance with the Authority's policies and procedures and any applicable legislation for handling confidential material. Members not attending the closed meeting shall be required to return the confidential materials related to the closed session to the President & CEO/Secretary-Treasurer at or prior to the next scheduled meeting.

13. Voting

In accordance with Section 16 of the Act:

- a) Each Member is entitled to one vote, including the Chair and
- b) A majority vote of the Members present at any Meeting is required upon all matters coming before the Meeting.

Every Member who is present when a question is put, shall vote thereon, unless prohibited in law or by this By-law.

Where a member has been appointed by Minister as a representative of the agricultural sector, the member shall not vote on: a resolution to enlarge an authority's area of jurisdiction; a resolution to amalgamate the Authority with another conservation authority; a resolution to dissolve the Authority; or, a resolution related to any budgetary matter.

If any Member who is qualified to vote abstains from voting, they shall be deemed to have voted neither in favour nor opposed to the question, which will not alter the number of votes required for a majority.

On a tie vote, the motion is lost.

Except as provided elsewhere in this By-law, a motion shall be deemed to have been carried when a majority of the Members present and voting have expressed agreement with the question.

Interrelated motions shall be voted on in the order specified in Robert's Rules of Order.

Unless a Member requests a Recorded Vote, a vote shall be by a show of hands or such other means as the Chair may call. No question shall be voted upon more than once at any Meeting, unless a Recorded Vote is requested.

Any Member at a General Membership Meeting before or immediately after a vote is taken, may require a Recorded Vote to be taken on the question concerned. In such circumstances, the Chair shall have the right to speak to the question and indicate how the Chair intends to vote on the question, prior to the commencement of the Recorded Vote.

Where Recorded Vote is required, the Chair shall call for those Members in favour to all rise, at which time the recording secretary shall record the name of each Member standing and their vote in favour and upon completion of the recording then the Chair shall call for those Members opposed to all rise, at which time the recording secretary shall record the name of each Member standing and their vote in opposition.

After a non-Recorded Vote is held and after the Chair declares the result, any Member may rise and declare his disagreement with the declaration, and thereafter another vote must be held or the Member may request a Recorded Vote and a Recorded Vote must be taken as mentioned above.

At the Meeting of the General Membership at which the Non-Matching Levy is to be approved, the President & CEO/Secretary-Treasurer shall conduct the vote to approve of Non-Matching Levy by a Weighted Majority of the Members present and eligible to vote, in accordance with Ontario Regulation 139/96.

Where a question under consideration contains more than one item, upon the request of any Member, a vote upon each item shall be taken separately.

Except as provided in this By-law (Election of Chair and Vice-Chair), no vote shall be taken by ballot or by any other method of secret voting, and every vote so taken is of no effect.

14. Motions

In General Membership and Committee Meetings, the following matters and motions with respect thereto, may be introduced orally, without notice and with leave of the Chair, except as otherwise provided by this By-law:

- A point of order or personal privilege
- To close debate and

- To adjourn.

In General Membership and Committee meetings, the following motions may be introduced without notice and with leave of the General Membership except as otherwise provided by this By-law:

- To suspend the rules of procedure
- To table
- To postpone definitely
- To refer
- To amend
- To censure
- To postpone indefinitely (defer) and
- Any other procedural motion

In voting, all motions may be supported or opposed by the mover and/or seconder. The mover and seconder may withdraw a motion or a notice of motion at any time prior to it being called by the Chair. After a motion has been called or stated by the Chair, it shall be deemed to be in the possession of the General Membership, but may be withdrawn by the originator at any time before a decision or amendment, provided the General Membership does not object.

15. Notice of Motion

Written notice of motion to be made at a General Membership or Committee Meeting may be given to the President & CEO/Secretary-Treasurer by any Member of the General Membership not less than ten calendar days prior to the date and time of the Meeting and shall be forthwith placed on the agenda of the next meeting. The President & CEO/Secretary-Treasurer shall include such notice of motion in full in the agenda for the Meeting concerned.

Recommendations included in reports of Committees that have been included in an agenda for a Meeting of the General Membership shall constitute notice of motion for that Meeting.

Recommendations included in Staff reports that have been included in an agenda for a Meeting of the General Membership shall constitute notice of motion for that Meeting.

Notwithstanding the foregoing, any motion or other business may be introduced for consideration of the General Membership provided that it is made clear that to delay such motion or other business for the consideration of an appropriate Committee would not be in the best interest of the Authority and that the introduction of the motion or other business shall be upon an affirmative vote of majority of the Members of the General Membership present.

16. Motion to Reconsider

No motion to reconsider a decided matter of the General Membership shall be in order when the original motion has been implemented resulting in a legally binding commitment that is in place on the date that a motion to reconsider is to be debated.

A motion to reconsider a decided matter of the General Membership at the same meeting at which the original motion was decided shall be introduced under “Other Business Items”

of the General Membership agenda unless the Chair determines there was a clear misunderstanding of the question that was put, in which case a motion for reconsideration shall be introduced immediately after the original vote was taken.

A motion to reconsider a decided matter of the General Membership at a meeting subsequent to the meeting at which the original motion was decided shall require a notice of motion submitted in accordance with this By-law, unless the motion to reconsider comes forward to the General Membership through a report of a Committee.

A motion to reconsider a decided matter of the General Membership must be made by a Member who voted with the majority on the original motion.

No motion to reconsider a decided matter of the General Membership shall be made more than once in the twelve month period from the date the matter was decided, unless a regular election has occurred following the decision.

A motion to reconsider a decided matter of the General Membership shall require the approval of at least two thirds of the whole General Membership.

If a motion to reconsider is decided in the affirmative at a meeting, then consideration of the original matter shall become the next order of business.

17. Duties of the Meeting Chair

It shall be the duty of the Chair, with respect to any Meetings over which he/she presides, to:

- a) Preserve order and decide all questions of order, subject to appeal; and without argument or comment, state the rule applicable to any point of order if called upon to do so;
- b) Ensure that the public in attendance does not in any way interfere or disrupt the proceedings of the Members;
- c) Receive and submit to a vote all motions presented by the Members, which do not contravene the rules of order or regulations of the General Membership;
- d) Announce the results of the vote on any motions so presented; and
- e) Adjourn the Meeting when business is concluded.

18. Conduct of Members

Members shall maintain a high standard of conduct and at all times comply with applicable laws and the General Membership's Code of Conduct (Appendix 1).

No Member at any Meeting of the General Membership shall:

- a) Speak in a manner that is discriminatory in nature based on an individual's race, ancestry, place of origin, citizenship, creed, gender identity, gender expression, sex, sexual orientation, age, colour, marital status, family status, ethnic origin or disability;
- b) Leave their seat or make any noise or disturbance while a vote is being taken or until the result is declared;
- c) Interrupt a Member while speaking, except to raise a point of order or a question of privilege;
- d) Speak disrespectfully or use offensive words against the General Membership, the Members, Staff, or any member of the public;
- e) Speak beyond the question(s) under debate;

F. Appendices to the Administrative By-law

Appendix 1 - Code of Conduct

1. Background

Since its inception in 1963, The Halton Region Conservation Authority has demanded a high level of integrity and ethical conduct from its General Membership. The General Membership's reputation has relied upon the good judgement of individual Members. A written Code of Conduct helps to ensure that all Members share a common basis for acceptable conduct. Formalized standards help to provide a reference guide and a supplement to legislative parameters within which Members must operate. Further, they enhance public confidence that Members operate from a base of integrity, justice and courtesy.

The Code of Conduct is a general standard. It augments the laws which govern the behaviour of Members, and it is not intended to replace personal ethics.

This Code of Conduct will also assist Members in dealing with confronting situations not adequately addressed or that may be ambiguous in Authority's resolutions, regulations, or policies and procedures.

2. General

All Members, whether municipal councillors or appointed representatives of a municipality, are expected to conduct themselves in a manner that reflects positively on the General Membership.

All Members shall serve in a conscientious and diligent manner. No Member shall use the influence of office for any purpose other than for the exercise of his/her official duties.

It is expected that Members adhere to a code of conduct that:

- i. Upholds the mandate, vision and mission of the General Membership;
- ii. Considers the General Membership's jurisdiction in its entirety, including their appointing municipality;
- iii. Respects confidentiality;
- iv. Approaches all General Membership issues with an open mind, with consideration for the organization as a whole;
- v. Exercises the powers of a Member when acting in a meeting of the General Membership;
- vi. Respects the democratic process and respects decisions of the General Membership and Committees;
- vii. Declares any direct or indirect pecuniary interest or conflict of interest when one exists or may exist; and
- viii. Conducts oneself in a manner which reflects respect and professional courtesy and does not use offensive language in or against the General Membership or against any Member or any Authority Staff.

3. *Gifts and Benefits*

Members shall not accept fees, gifts, hospitality or personal benefits that are connected directly or indirectly with the performance of their duties, except compensation authorized by law.

This section does not apply to tokens, mementos, souvenirs or such gifts or benefits that are received as an incident of protocol or social obligations that normally accompany the responsibilities of office.

4. *Confidentiality*

The Members shall be governed at all times by the provisions of the *Municipal Freedom and Information and Protection of Privacy Act*.

All information, documentation or deliberations received, reviewed, or taken in an In Camera Meeting are confidential.

Members shall not disclose or release by any means to any member of the public, either in verbal or written form, any confidential information acquired by virtue of their office, except when required by law to do so.

Members shall not permit any persons, other than those who are entitled thereto, to have access to information which is confidential.

In the instance where a member vacates their position on the General Membership they will continue to be bound by MFIPPA requirements.

Particular care should be exercised in protecting information such as the following:

- i. Human Resources matters;
- ii. Information about suppliers provided for evaluation that might be useful to other suppliers;
- iii. Matters relating to the legal affairs of the Authority;
- iv. Information provided in confidence from an Aboriginal community, or a record that if released could reasonably be expected to prejudice the conduct of relations between an Aboriginal community and the Authority;
- v. Sources of complaints where the identity of the complainant is given in confidence;
- vi. Items under negotiation;
- vii. Schedules of prices in tenders or requests for proposals;
- viii. Appraised or estimated values with respect to the Authority's proposed property acquisitions or dispositions; and
- ix. Information deemed to be "personal information" under MFIPPA.

The list above is provided for example and is not exhaustive.

5. *Use of General Membership Property*

No Member shall use for personal purposes any Authority property, equipment, supplies, or services of consequence other than for purposes connected with the discharge of General Membership duties or associated community activities of which the General Membership has been advised.

No Member shall obtain financial gain from the use or sale of Authority developed intellectual property, computer programs, technological innovations, or other patentable items, while a Member or thereafter. All such property remains the exclusive property of the Authority.

6. *Work of a Political Nature*

No Member shall use Authority facilities, services or property for his/her election or re-election campaign to any position or office within the Authority or otherwise.

7. *Conduct at General Membership Meetings*

During meetings of the General Membership, Members shall conduct themselves with decorum. Respect for delegations and for fellow Members requires that all Members show courtesy and not distract from the business of the General Membership during presentations and when others have the floor.

8. *Influence on Staff*

Members shall be respectful of the fact that Staff work for the Authority as a whole and are charged with making recommendations that reflect their professional expertise and corporate perspective, without undue influence.

9. *Business Relations*

No Member shall borrow money from any person who regularly does business with the Authority unless such person is an institution or company whose shares are publicly traded and who is regularly in the business of lending money.

No Member shall act as a paid agent before the General Membership or Committee, except in compliance with the terms of the *Municipal Conflict of Interest Act*.

10. *Encouragement of Respect for the General Membership and its Regulations*

Members shall represent the General Membership in a respectful way and encourage public respect for the Authority and its Regulations.

11. *Harassment*

It is the policy of the Authority that all persons be treated fairly in the workplace in an environment free of discrimination and of personal and sexual harassment. Harassment of another Member, Staff or any member of the public is misconduct. Members shall follow the Authorities' Harassment Policy as approved from time-to-time.

Examples of harassment that will not be tolerated include: verbal or physical abuse, threats, derogatory remarks, jokes, innuendo or taunts related to an individual's race, religious beliefs, colour, gender, physical or mental disabilities, age, ancestry, place of origin, ethnic origin, citizenship, creed, gender identity, gender expression, record of offences, marital status, source of income, family status, or sexual orientation. The General Membership will also not tolerate the display of pornographic, racist or offensive signs or images; practical jokes that result in awkwardness or embarrassment; unwelcome invitations or requests, whether indirect or explicit and any other prohibited grounds under the provisions of the *Ontario Human Rights Code*.

12. Breach of Code of Conduct

Any breach, or alleged breach, of the Code of Conduct shall be investigated in accordance with the Enforcement of By-law and Policies procedure outlined in Section 18 of the Halton Region Conservation General Membership Administrative By-law.

Appendix 2 - Conflict of Interest

1. *Municipal Conflict of Interest Act*

The General Membership commit themselves to ethical, businesslike, and lawful conduct when acting as the General Membership. The General Membership is bound by the *Municipal Conflict of Interest Act*. This appendix to the By-law is intended to assist Members in understanding their obligations. Members are required to review the *Municipal Conflict of Interest Act* on a regular basis.

2. *Disclosure of Pecuniary Interest*

Where a Member, either on his or her own behalf or while acting for, by, with or through another, has any pecuniary interest, direct or indirect, in any matter and is present at a meeting of the General Membership or Committee at which the matter is the subject of consideration, the Member:

- a) Shall, prior to any consideration of the matter at the meeting, disclose the pecuniary interest and the general nature thereof;
- b) Shall not take part in the discussion of, or vote on any question in respect of the matter; and
- c) Shall not attempt in any way whether before, during or after the meeting to influence the voting on any such question.

3. *Chair's Conflict of Interest or Pecuniary Interest*

Where the Chair of a meeting discloses a conflict of interest with respect to a matter under consideration at a meeting, another Member shall be appointed to chair that portion of the meeting by Resolution.

4. *In Camera Meetings*

Where a meeting is not open to the public, a Member who has declared a conflict of interest shall leave the meeting for the part of the meeting during which the matter is under consideration.

5. *Member Absent*

Where the interest of a Member has not been disclosed by reason of their absence from the particular meeting, the Member shall disclose their interest and otherwise comply at the first meeting of the General Membership or Committee, as the case may be, attended by them after the particular meeting.

6. *Disclosure Recorded in Minutes*

The recording secretary shall record in reasonable detail the particulars of any disclosure of conflict of interest or pecuniary interest made by Members and whether the Member withdrew from the discussion of the matter. Such record shall appear in the minutes/notes of that particular meeting of the General Membership or Committee, as the case may be.

7. *Breach of Conflict of Interest Policy*

Should a Member breach the Conflict of Interest Policy, they shall advise the Chair and Vice-Chair, in writing, with a copy to the President & CEO/Secretary-Treasurer, as soon as possible after the breach.

Should a Member allege that another Member has breached the Conflict of Interest Policy, the said breach shall be communicated to the Chair, in writing, with a copy to the President & CEO/Secretary-Treasurer. In the absence of the Chair, or if a Member alleges that the Chair has breached the Conflict of Interest Policy, the said breach shall be communicated to the Vice-Chair, in writing, with a copy to the President & CEO/Secretary-Treasurer.

Should a member of the public or a municipality allege that a Member has breached the Conflict of Interest Policy, the party making the allegation will be directed to follow the notification procedure outlined above.

Any breach, or alleged breach, of the Conflict of Interest Policy shall be investigated in accordance with the Enforcement of By-law and Policies procedure outlined or referred to in the Authority's Administrative By-law.

Appendix 3 - Procedure for Election of Officers

1. Voting

Voting shall be by secret ballot and no Members may vote by proxy.

2. Chair for Election of Officers

The President & CEO/Secretary-Treasurer, or designate, will assume the position of Chair for the purpose of Election of Officers.

3. Scrutineer(s)

The appointment of one or more scrutineers is required for the purpose of counting ballots, should an election be required. All ballots shall be destroyed by the scrutineers afterwards. The Acting Chair shall call a motion for the appointment of one or more persons, who are not Members of the General Membership, to act as scrutineers. A Member, who will not stand for election, may be appointed as an additional scrutineer if requested.

4. Election Procedures

The Acting Chair shall advise the Members that the election will be conducted in accordance with the Act as follows:

- a) The elections shall be conducted in the following order:
 - i. Election of the Chair, who shall be a Member of the General Membership
 - ii. Election of one or more Vice-Chairs, who shall be Members of the General Membership.
- b) The Acting Chair shall ask for nominations to each position;
- c) Only current Members of the General Membership who are present may vote;
- d) Nominations shall be called three (3) times and will only require a mover;
- e) The closing of nominations shall require both a mover and a seconder; and
- f) Each Member nominated shall be asked to accept the nomination. The Member must be present to accept the nomination unless the Member has advised the President & CEO/Secretary-Treasurer in writing or by email in advance of the election of their willingness to accept the nomination.

If one Nominee:

- g) If only one nominee the individual shall be declared into the position by acclamation.

If More than One Nominee:

- h) In the event of an election, each nominee shall be permitted not more than three (3) minutes to speak for the office, in the order of the alphabetical listing by surnames.
- i) Upon the acceptance by nominees to stand for election to the position of office, ballots shall be distributed to the Members by the scrutineers for the purpose of election and the Acting Chair shall ask the Members to write the name of one individual only on the ballot.

- j) The scrutineers shall collect the ballots, leave the meeting to count the ballots, return and advise the Acting Chair who was elected with more than 50% of the vote.

A majority vote shall be required for election. If there are more than two nominees, and upon the first vote no nominee receives the majority required for election, the name of the person with the least number of votes shall be removed from further consideration for the office and new ballots shall be distributed. In the case of a vote where no nominee receives the majority required for election and where two or more nominees are tied with the least number of votes, a special vote shall be taken to decide which one of such tied nominees' names shall be dropped from the list of names to be voted on in the next vote.

Should there be a tie vote between two remaining candidates, new ballots shall be distributed and a second vote held. Should there still be a tie after the second ballot a third vote shall be held. Should there be a tie after the third vote, the election of the office shall be decided by lot drawn by the Acting Chair or designate.

Appendix 4 - PRESIDENT & CEO/SECRETARY–TREASURER MANAGEMENT AUTHORITY LIMITS POLICY

The PRESIDENT & CEO/ SECRETARY – TREASURER shall act within the purpose of all executive limitations as outlined herein. To the extent that there is any inconsistency between the executive limitations as set-out in this policy and the By-law and other policies of the Authority this policy will prevail.

1. EXECUTIVE LIMITATIONS

The PRESIDENT & CEO/Secretary–Treasurer shall not cause or permit any practice, organizational circumstance, activity, or decision that is either imprudent or in violation of commonly accepted business practices or professional ethics.

1. Budgeting in any fiscal year shall not deviate materially from the Authority policies, risk financial jeopardy, or fail to be derived from a multi-year business plan.
2. Staff compensation and benefits shall not deviate materially from current market conditions.
3. Information presented to the General Membership will have no significant gaps in timeliness, accuracy or completeness.
4. Financial performance shall not incur jeopardy or compromise the Authority's Strategic Plan priorities policies.
5. The scope of business activities shall recognize General Membership directions or regulatory restrictions and deviations from same shall require General Membership approval.
6. Risk management procedures shall be explicit and updated to protect the organization, Members, officers and Staff from exposed liabilities.

2. GENERAL MEMBERSHIP- PRESIDENT & CEO/SECRETARY–TREASURER RELATIONSHIP

The General Membership will link governance and management functions and performance through the PRESIDENT & CEO/Secretary–Treasurer.

1. Subject to the By-law of the Authority all authority to the operational organization is delegated through the PRESIDENT & CEO/Secretary–Treasurer, so that all authority and accountability for the operational organization is considered to belong to the PRESIDENT & CEO/Secretary–Treasurer
2. The PRESIDENT & CEO/Secretary–Treasurer is accountable to the General Membership for achieving the Corporate Objectives and achieving provisions of the Strategic Plan

policies within the PRESIDENT & CEO/SECRETARY–TREASURER Management Authority Limits Policy.

3. The General Membership limits the latitude the PRESIDENT & CEO/Secretary–Treasurer may exercise in practices, methods, conduct and other “means” by establishing the PRESIDENT & CEO/SECRETARY –TREASURER Management Authority Limits Policy.
4. As long as the PRESIDENT & CEO/Secretary–Treasurer uses any reasonable interpretation of By-law and Management Authority Limits Policy, the PRESIDENT & CEO/Secretary–Treasurer is automatically authorized to establish all further policies, make all decisions, take all actions, establish all practices, and develop all activities.
5. Monitoring data that disclose the degree of organizational performance, compliance with Strategic Plan priorities will be systematically gathered by the General Membership and considered part of the evaluation of PRESIDENT & CEO/Secretary–Treasurer Performance.
6. Members can never carry the instructive authority of the General Membership, nor can they waive requirements set out by the General Membership.

3. MATTERS REQUIRING GENERAL MEMBERSHIP REVIEW AND APPROVAL

A) MATTERS REQUIRING GENERAL MEMBERSHIP CONSENT

- Any matter requiring General Membership consent pursuant to The Halton Region Conservation Authority General Membership By-law dated the day of, 2018.

B) BUDGET AND BUSINESS PLAN DOCUMENTATION

- annual corporate and business objectives, financial and non-financial;
- annual strategic plan;
- financial plans including proposed corporate financing and investments;
- annual operating and capital budget; or
- any other items as outlined in the Conservation Halton Budget Principles, approved by the General Membership.

C) RISK MANAGEMENT

- general risk management policies,
- purchase (or sale) of any real property interest of Conservation Halton, except as outlined in the Land Acquisition Strategy approved by the General Membership.

D) COMPENSATION AND HUMAN RESOURCES

- compensation for the PRESIDENT & CEO/Secretary–Treasurer.

E) FINANCIAL REPORTING REQUIREMENTS

- The General Membership should be presented with financial statements (in conjunction with the General Membership’s meeting schedule), complete with an analysis of variances between actual and budget, by major classification.

Conservation Halton Purchasing Policy Approval and Reporting Requirements

- The following summary outlines the purchasing process and reporting procurement values, excluding taxes and shipping and has been approved by the General Membership on March 22, 2018, Report # 02 18 06, Resolution CHBD 02 06:

Procedure	Procurement Value	Process, Approvals and Reporting Requirements
No quotation required	< \$10,000	Open procurement by authorized buyer.
Informal quotation	\$10,000 – <\$25,000	Three written quotes solicited. Approval by Program Director & Director, Finance.
Informal Request for Proposals	\$25,000 – <\$50,000	Informal proposals with at least three bids solicited. Approval by PRESIDENT & CEO or Senior Director, Corporate & Strategic Initiatives.
Formal Quotation	\$25,000 – <\$50,000	Three written quotes solicited using formal quotation process administered by Finance. Approval by PRESIDENT & CEO or Senior Director, Corporate & Strategic Initiatives.
Formal Request for Proposal	\$50,000 – <\$100,000	Formal proposal process administered by Finance and Purchasing Consultant. Approval by PRESIDENT & CEO or Senior Director, Corporate & Strategic Initiatives.
	\$100,000 & over	Approval by General Membership.
Formal Tender	\$50,000 – <\$350,000	Formal tender process, administered by Finance and Purchasing Consultant. Approval by PRESIDENT & CEO or Senior Director, Corporate & Strategic Initiatives.

	\$350,000 & over	Report >\$100,000 to General Membership. Approval by General Membership.
Other than lowest compliant bid or exceeds budget	>\$25,000	Approval by General Membership.
Non-competitive or negotiated bid— Sole, Single Source	>\$25,000- <\$100,000	Approval by Program Director, Director, Finance, and PRESIDENT & CEO or Senior Director, Corporate & Strategic Initiatives. Information report provided to the General Membership.
Emergency Purchases	>\$25,000	Approval by Program Director, Director, Finance, and PRESIDENT & CEO or Senior Director, Corporate & Strategic Initiatives. Information report to General Membership after resolution of the emergency situation.

Procedure	Procurement Value	Process, Approvals and Reporting Requirements
Competitive quotations not required	< \$10,000	Open procurement by procurement authorized staff.
Informal quotation	\$10,000 - <\$25,000	Three written quotes solicited by procurement authorized staff. Procurement Manager available to support. Approval by program Director is required.
Formal Quotation	\$25,000 - <\$50,000	Three written quotes solicited using formal quotation process administered by the Procurement Manager. Approval by the President & CEO, COO or Director, Finance.
Formal Request for Proposal	\$25,000 - <\$50,000	Proposals with at least three bids solicited using standard template forms administered by the Procurement Manager. Approval by the President & CEO, COO or Director, Finance.

	\$50,000 - <\$100,000	Formal publicly advertised proposal process administered by the Procurement Manager. Approval by the President & CEO, COO or Director, Finance.
	\$100,000 & over	As above and approval by Board of Directors.
Formal Tender	\$50,000 - <\$350,000	Formal publicly advertised tender process, administered by the Procurement Manager. Approval by the President & CEO, COO or Director, Finance. Information report >\$100,000 to Board.
	\$350,000 & over	Process as above and approval by Board of Directors.
Other than lowest compliant bid or exceeds budget	>\$50,000	Approval by Board of Directors.
Non-competitive or negotiated bid – Sole, Single Source	>\$10,000-<\$25,000	Approval by program director and Director, Corporate Compliance
Non-competitive or negotiated bid – Sole, Single Source	>\$25,000-<\$100,000	Approval by program director, Director, Corporate Compliance, and the President & CEO, COO or Director, Finance Information report provided to the Board.
	>\$100,000	Process as above and approval by Board of Directors.
Emergency Purchases	>\$10,000-<\$25,000	Approval by program director, Director, Corporate Compliance, and the President & CEO, COO or Director, Finance. Information report to Board after resolution of the emergency situation.
	>\$25,000	

- It is acknowledged that the PRESIDENT & CEO/Secretary–Treasurer or acting PRESIDENT & CEO may need to act promptly in emergency situations, including but not limited to
 - major storm or catastrophic damage,
 - public and health & safety issues,

- expiring deadline, or
- any other emergent matter adversely affecting the Authority.

In these circumstances, the PRESIDENT & CEO/Secretary–Treasurer and Acting PRESIDENT & CEO may exceed the Authority Limits but will immediately inform the Chair of the General Membership, or if unavailable, the Vice-Chair of the General Membership, or if unavailable, the Chair of the Finance and Audit Committee, or if unavailable, the Chair of the Governance Committee of the situation and reasons why the limits were exceeded. Thereafter provide a written report at the next scheduled meeting of the General Membership or later date as appropriate.